IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication No.:

10/700,276

Confirmation No.: To be assigned

Applicant:

Liotta et al.

Filed:

November 3, 2003

TC/A.AU.: Examiner:

To be assigned To be assigned

Docket No .:

18085.105094 EMU 108 DIV3 CON

Customer No.:

20786

Title:

Antiviral Activity and Resolution of 2-Hydroxymethyl-5-(5-Fluorocytosin-1-yl)-

1.3-Oxathiolane

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Transmittal of Information Disclosure Statement

Sir:

The citation of information on the attached Form PTO-1449 is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. Copies of references AY, AAC, AAD, AAE, AAF, AAG, AAH, BQ, CI, CJ, CK, CM, DB, DK, FA, GD, and GG are enclosed; copies of the remaining references were cited in the parent application U.S.S.N. 08/475,339, which issued as 6,642,245 on October 21, 2003. The Examiner's attention is also drawn to copending applications U.S.S.N. 09/007,502 and 10/795,046. The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Because this Information Disclosure Statement is being submitted before the receipt of a first Office action on the merits, the Applicants do not believe that any additional fees are due; however, the Commissioner is hereby authorized to charge any fees due or credit any overpayment to Deposit Account No. 11-0980.

Respectfully submitted,

Date: April 30, 2004 King & Spalding, LLP

191 Peachtree Street, N.E., Atlanta, GA 30303 Office: (404)572-4600/ Fax: 404-572-5145

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 30, 2004.

Tisha Hardrick

Juduc

3446341 I.DOC

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act ed to respond to a collection of information unless it contains a valid OMB control number

			THADEN		Complete if Known
Submitted f	or form 1449/PTO			Application Number	10/700,276
				Filing Date	November 3, 2003
	INFORMATION			First Named Inventor	Liotta et al.
	STATEMENT BY	APPLIC	ANT	Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	7	Attorney Docket Number	18085.105094 EMU 108 DIV3 CON

3445331 3.DOC

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No. 1	U.S. Patent Docu Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pgs, Clmns, Lns, Where Relevant Passages/Relevant Figs Appear
	AA	4,000,137	A	Dvonoch, et al.	12-28-1976	
	AB	4,336,381	A	Nagata, et al.	06-22-1982	
	AC	4,861,759	Α	Mitsuya, et al.	08-29-1989	
	AD	4,879,277	A	Mitsuya, et al.	11-07-1989	
	AE	4,900,828	A	Belica, et al.	02-13-1990	
	AF	4,916,122	A	Chu, et al.	04-10-1990	
	AG	4,963,533	A	de Clercq, et al.	10-16-1990	
	AH	5,011,774	Α	Farina et al.	04-30-1991	
	AI	5,041,449	A	Belleau, et al.	08-20-1991	
	AJ	5,047,407	Α	Belleau, et al.	09-10-1991	,
-	AK	5,059,690	Α	Zahler, et al.	10-22-1991	
	AL	5,071,983	Α	Koszalka et al.	12-10-1991	
	AM	5,179,104	Α	Chu, et al.	01-12-1993	
	AN	5,185,437	Α	Koszalka, et al.	02-09-1993	
, u - u - u - u - u - u - u - u - u -	AO	5,204,466	Α	Liotta, et al.	04-20-1993	
	AP	5,210,085	Α	Liotta, et al.	05-11-1993	
	AQ	5,234,913	Α	Furman, Jr.	08-10-1993	
	AR	5,248,776	A	Chu, et al.	09-28-1993	
	AS	5,270,315	Α	Belleau, et al.	12-14-1993	
	AT	5,276,151	Α	Liotta	01-04-1994	
	AU	5,444,063	Α	Schinazi	08-22-1995	
	AV	5,466,806	Α	Belleau, et al.	11-14-1995	
	AW	5,486,520	Α	Belleau, et al.	01-23-1996	
	AX	5,532,246	A	Belleau, et al.	07-02-1996	
	AY	5,538,975	Α	Dionne	07-23-1996	
	AZ	5,539,116	Α	Liotta, et al.	07-23-1996	**
	AAA	5,587,480	Α	Belleau, et al.	12-24-1996	
	AAB	5,618,820	Α	Dionne	04-08-1997	-
	AAC	5,814,639	Α	Liotta et al.	09-29-1998	-
	AAD	5,914,331	Α	Liotta et al.	06-22-1999	
	AAE	6,114,343	BI	Liotta et al.	09-05-2000	
	AAF	2002/0143194	Al	Liotta et al.	10-03-2002	· · · -
	AAG	6,642,245	B1	Liotta et al.	11-04-2003	
	AAH	6,703,396	B1	Liotta et al.	03-09-2004	

Examiner	Date	
Signature	Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

				Complete if Known			
Submitted for	or form 1449/PTO			Application Number	10/700,276		
j				Filing Date	November 3, 2003		
	INFORMATION I			First Named Inventor	Liotta et al.		
	STATEMENT BY	APPLIC	CANT	Group Art Unit	Unassigned		
				Examiner Name	Unassigned		
Sheet	2	of	7	Attorney Docket Number	18085.105094 EMU 108 DIV3 CON		

3445331 3.DO

				FORE	IGN PATENT DOCUMENTS			
Examiner Initials	Cite No. 1	For Office ³	eign Patent Docume Number Kind (if kno	Code 2	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 6
	BA	AU	7300491	A1	Liotta et al.	08-21-1991		\top
	BB	AU	665187		Emory University	12-21-1995		1
	BC	AU	630913		Biochem Pharma Inc.	11-12-1992		T
	BD	EP	0 217 580		Wellcome Foundation Ltd	04-08-1987		T
	BE	EP	0 337 713		Biochem Pharma Inc.	10-18-1989		T
	BF	EP	0 350 811		E.R. Squibb & Sons, Inc.	01-17-1990	-	T
	BG	EP	0 357 009		G.D. Searle & Co.	03-07-1990		
	BH	EP	0 361 831		Wellcome Foundation Ltd	04-04-1990		T
	BI	EP	0 375 329		Wellcome Foundation Ltd	06-27-1990		\top
	BJ	EP	0 382 526		IAF Biochem Int'l Inc.	08-16-1990		\top
	BK	EP	0 421 636		E.R. Squibb & Sons, Inc.	04-10-1991		\top
	BL	EP	0 433 898		Abbott Laboratories	06-26-1991		T
	BM	EP	0 494 119		IAF Biochem Int'l Inc.	07-08-1992		1
	BN	EP	0 515 144		Biochem Pharma Inc.	11-25-1992		+
	BO	EP	0 515 156		Biochem Pharma Inc.	11-25-1992		\vdash
	BP	EP	0 515 157		Biochem Pharma Inc.	11-25-1992		T
	BQ	EP	0 517 145	Al	Glaxo Group Ltd.	12-09-1992		
	BR	EP	0 526 253		Biochem Pharma Inc.	02-03-1993		\top
	BS	JP	2-69469			03-08-1990		\vdash
	ВТ	JP	2-69476			03-08-1990		\vdash
	BU	JP	07109221		Wellcome Foundation Ltd	11-22-1995		\vdash
	BV	NL	8901258		Stichting Rega te Leuven	12-17-1990	1400	
	BW	NZ	238017	Α	Biochem Pharma	06-27-1994		\Box
	BX	wo	88/07532		Holmes; Nycomed A.S.	10-06-1988		\Box
	BY	wo	90/12023		Walker, et al.	10-18-1990		\Box
	BZ	wo	91/11186	A1	Emory University	08-08-1991		\sqcap
	BAA	wo	91/17159		IAF Biochem. Int'l Inc.	11-14-1991		
	BAB	wo	92/08727	-	Consiglio Naz. d. Ric.; Menarini Ric. Sud S.P.A.	05-29-1992		
	BAC	WO	92/10496		U. Georgia Res. Found.	06-25-1992		
	BAD	WO	92/10497		U. Georgia R.F.; Emory	06-25-1992		\Box
	BAE	WO	92/14729	Al	Emory University	09-03-1992		\Box

Examiner	Date	
Signature	 Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	***				Complete if Known
Submitted for	form 1449/PTO			Application Number	10/700,276
	INFORMATION I			Filing Date	November 3, 2003
	INFORMATION I			First Named Inventor	Liotta et al.
	STATEMENT BY	APPLIC	CANT	Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	3	. of	7	Attorney Docket Number	18085.105094 EMU 108 DIV3 CON

3445331_3.DO

							3443331_3.	.DO
				FORE	IGN PATENT DOCUMENTS			
Initials N	Cite No. 1	For Office ³		Code ² lown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 6
	CA	WO	92/14743	A2	Emory University	09-03-1992		T
	CB	WO	92/15308		Wellcome Foundation Ltd	09-17-1992		
	CC	WO	92/15309		Wellcome Foundation Ltd	09-17-1992		\top
	CD	WO	92/18517		Yale U.; U. Georgia R. F.	10-29-1992		-
	CE	wo	92/21676		Glaxo Group Ltd.	12-10-1992	4.6	\Box
	CF	wo	94/04154		U. Georgia R.F.; Emory	03-03-1994		\Box
	CG	WO	94/09793		Emory University	05-11-1994		\Box
	СН	WO	94/14802		Biochem Pharma Inc.	07-07-1994		\Box
<u> </u>	CI	WO	95/29174	A1	Glaxo Group Ltd	11-02-1995	, 1 -	
	CJ	WO	00/09494	A1	Triangle Pharm.; Emory Univ.	02-24-2000		П

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	CK	ANNUNZIATA, R., et al., "Diastereoselective addition of a silylketene acetal to chiral α-thioaldehydes," <i>Tetrahedron Letters</i> , 1990:6733 (1990).	
	CL	BALZARINI, J., et al., "Potent and Selective Anti-HTLV-IIFLAV Activity of 2',3'-Dideoxycytidinene, the 2',3'-Unsaturated Derivative of 2',3'-Dideoxycytidine," <i>Biochemical and Biophysical Research Communications</i> , 140(2): 735-742 (1986)	
	СМ	BARTLETT, P.A., et al., "Asymmetric synthesis via acetal templates. 3. On the stereochemistry observed in the cyclization of chiral acetals of polyolefinic aldehydes: Formation of optimally active homoallylic alcohols", J. Amer. Chem. Soc., 105:2088-2089 (1983).	
	CN	BASCHANG, et al., "The enantiomers of 1 .betaadenyl-2.alphahydroxy-3.beta (hydroxymethyl)cyclobutane," <i>Tetrahedron: Asymmetry</i> , 3(2):193-6 (1992)	T
	СО	BELLEAU, B., et al., "Design and Activity of a Novel Class of Nucleoside Analogs Effective Against HIV-I," International Conference on AIDS, Montreal, Quebec, Canada, June 4-9, 1989	
	СР	BORTHWICK, A.D., et al., "Synthesis and Enzymatic Resolution of Carbocyclic 2'-Ara-Fluoro Guanosine: A Potent New Anti-Herpetic Agent," J. Chem. Soc. Cornmun., 10:656-658 (1988)	T
	CQ	CARTER, et al., "Activities of(-)-Carbovir and 3'-Azido-3'-Deoxythymidine Against Human Immunodeficiency Virus In Vitro," Antimicrobial Agents and Chemotherapy, 34(6): 1297-1300 (1990)	\top

	· -				
Examiner			Date		
Signature			Considered		
	 		001131401-04		

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

(+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

					Complete if Known
Submitted for	or form 1449/PTO			Application Number	10/700,276
				Filing Date	November 3, 2003
	INFORMATION			First Named Inventor	Liotta et al.
	STATEMENT BY	APPLIC	CANT	Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	4	of	7	Attorney Docket Number	18085.105094 EMU 108 DIV3 CON

		3445331 3	3. <u>D</u> O
		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	6
	DA	CHANG, CN., et al, "Deoxycytidine Deaminase-resistant Steroisomer Is the Active Form of (±)-2',3'-Dideoxy-3'-thiacytidine in the Inhibition of Hepatitis B Virus Replication," <i>The Journal of Biological Chemistry</i> , 267(20): 13938-13942 (1992).	
	DB	CHU, C.K., et al., "A general synthetic method for 2',3'-dideoxynucleosides: Total synthetic approach," <i>Nucleosides & Nucleotides</i> , 8(5&6):903-906 (1989).	
	DC	CHU, C.K., et al., "An Efficient Total Synthesis of 3'-Azido-3'-Deoxythiymidine (AZT) and 3'-Azido-2',3'-Dideoxyuridine (AZDDU, CS-87) from D-Mannitol," <i>Tetrahedron Lett.</i> , 29(42):5349-5352 (1988)	
	DD	CHU, et al., "Comparative Activity of 2',3'-Saturated and Unsaturated Pyrimidine and Purine Nucleosides Against Human Immunodeficiency Virus Type 1 in Peripheral Blood Mononuclear Cells," Biochem Pharm., 37(19):3543-3548 (1988)	
	DE	CHU, et al., "Structure-Activity Relationships of Pyrimidine Nucleosides as Antiviral Agents for Human Immunodeficiency Virus Type 1 in Peripheral Blood Mononuclear Cells," J. Med. Chem., 32:612 (1989)	
	DF	CONDREAY, et al., "Evaluation of the Potent Anti-Hepatitis B Virus Agent (-) cis-5-Fluoro-1-[2-(Hydroxymethyl)-1,3-Oxathiolan-5-yl]Cytosine in a Novel In Vivo Model," Antimicrobial Agents and Chemotherapy, 616-619 (1992)	
	DG	CONNOLLY, et al., "Minireview: Antiretroviral Therapy: Reverse Transcriptase Inhibition," Antimicrobial Agents and Chemotherapy, 36(2):245-254 (1992)	
	DH	CRETTON, E., et al., "Catabolism of 3'-Azido-3'-Deoxythymidine in Heptaocytes and Liver Microsomes, with Evidence of Formation of 3'-Amino-3'-Deoxythymidine, a Highly Toxic Catabolite for Human Bone Marrow Cells," <i>Molecular Pharmacology</i> , 39:258-266 (1991)	
	DI	CRETTON, E., et al., "Pharmokinetics of 3'-Azido-3'-Dexoythymidine and its Catabolites and Interactions with Probenecid in Rhesus Monkeys," <i>Antimicrobial Agents and Chemotherapy</i> , 35(5):801-807 (1991)	
	DJ	DOONG, Shin-Lian., et al., "Inhibition of the Replication of Hepatitis B Virus in vitro by 2',3'-Dideoxy-3'-Thiacytidine and Related Analogues," Natl. Acad. Sci. USA, 88:8495-8499 (1991)	
	DK	EVANS, D.A., et al., "New procedure for the direct generation of titanium enolates. Diastereoselective bond constructions with representative examples," J. Amer. Chem. Soc., 112:8215-8216 (1990).	
	DL	FEORINO, et al., "Prevention of activation of HIV-1 by antiviral agents in OM-10.1 cells," Antiviral Chem. & Chemotherapy, 4(1):55-63 (1993)	
	DM	FRICK, et al., "Pharmacokinetics, Oral Bioavailability, and Metabolic Disposition in Rats of (-)-cis-5-Fluoro-l-[2-(Hydroxymethyl)-l,3-Oxathiolan-5-yl] Cytosine, a Nucleoside Analog Active against Human Immunodeficiency Virus and Hepatitis B Virus," Antimicrobial Agents and Chemotherapy, 37(11):2285-2292 (1993)	

					 _	_
	***		•	1	 	_
Examiner			,	Date		
Signature				Considered		

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

				Complete if Known		
Submitted for form 1449/PTO				Application Number	10/700,276	
				Filing Date	November 3, 2003	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Liotta et al.	
			ANT	Group Art Unit Unassigned	Unassigned	
				Examiner Name	Unassigned	
Sheet	Sheet 5 of 7		Attorney Docket Number	18085.105094 EMU 108 DIV3 CON		
					3445331 3.DOC	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, Examiner Cite symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Initials * No. FURMAN, et al., "The Anti-Hepatitis B Virus Activities, Cytotoxicities, and Anabolic Profiles of the (-) EA and (+) Enantiomers of cis-5-Fluoro-1-[2-(Hydromethyl)-1,3-Oxthiolane-5-yl]Cytosine," Antimicrobial Agents and Chemotherapy, 36(12):2686-2692 (1992) HERDEWIJN, et al., "Resolution of Aristeromycin Enantiomers," J. Med. Chem., 28:1385-1386 (1985). EB EC HOONG, et al, "Enzyme-Mediated Enantioselective Preparation of Pure Enantiomers of the Antiviral Agent 2',3'-Dideoxy-5-fluoro-3'-thiacytidine (FTC) and Related Compounds," J. Org. Chem., 57:5563-ITO, et al., "Chirally Selective Synthesis of Sugar Moiety of Nucleosides by Chemicoenzymatic ED Approach: L- and D-Riboses, Showdomycin, and Cordycepin," J. Am. Chem. Soc., 103:6739-6741 JANSEN, et al., "High-Capacity In Vitro Assessment of Anti-Hepatitis B Virus Compound Selectivity EE by a Virion-Specific Polymerase Chain Reaction Assay," Antimicrobial Agents and Chemotherapy, 441-447 (1993) JEONG, L., et al., "Asymmetric Synthesis and Biological Evaluation of β-L-(2R,5S)- and a-L (2R-5R)-EF 1,3-Oxathiolane-Pyrimidine and -Purine Nucleosides and Potential Anti-HIV Agents," J. Med. Chem., 36(2):181-195 (1993) KRENITSKY, et al., "An Enzymic Synthesis of Purine D-arabinonucleosides," Carbohydrate Research, EG 97:139-146 (1981) KRENITSKY, T.A., et al., "3'-Amino-2',3'-Dideoxyribonucleosides of Some Pyrimidines: Synthesis EΗ and Biological Activities," J. Med. Chem., Vol. 26 (1983) LIN, et al., "Potent and Selective In Vitro Activity of 3'-Deoxythmindine-2-Ene-(3'-Deoxy-2',3'-ΕI Didehydrothymidine) Against Human Immunodeficiency Virus," Biochem. Pharm., 36(17):2713-2718 (1987)MAHMOUDIAN, et al., "Enzymatic Production of Optically Pure (2'R-cis)-2'-deoxy-3' thiacytidine EJ (3TC, Lamivudine): A Potent Anti-HIV Agent," Enzyme Microb. Technol., September 1993, Vol. 15, 749-755, published by the Glaxo Group Research MEI-HUEI, et al., Journal of Acquired Immune Deficiency Syndromes, 6:24-31 (1993) EK MITSUYA, H., et al., "3'-Azido-3'-Deoxythymidine (BW A 509U): An Antiviral Agent that Inhibits the EL Infectivity and Cytopathic Effect of Human T-Lymphotropic Virus Type III/Lymphadenopathy-Associated Virus In Vitro, Proc. Natl. Acad. Sci., USA, 82:7096-7100 (1985) MITSUYA, H., et al., "Molecular Targets for AIDS Therapy," Science, Vol. 249, pp. 1533-1544 (1990) EM MITSUYA, H., et al., "Rapid in Vitro Systems for Assessing Activity of Agents Against HTLV-III/LAV," AIDS: Modern Concepts and Therapeutic Challenges, S. Broder, Ed. pp. 303-333, Marcel-Dekker, New York (1987)

Examiner Signature	Date Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

			<u> </u>	Complete if Known		
Submitted for form 1449/PTO				Application Number	10/700,276	
				Filing Date	November 3, 2003	
	INFORMATION I			First Named Inventor	Liotta et al.	
	STATEMENT BY APPLICANT			Group Art Unit	Unassigned	
				Examiner Name	Unassigned	
Sheet	6	of	7	Attorney Docket Number	18085.105094 EMU 108 DIV3 CON	

		3445331_3	3.DO
		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	FA	NICOLAU, K.C., et al., "Stereoselective 1,2-migrations in carbohydrates. Stereocontrolled synthesis of	T
		α- and β-2-deoxyglycosides," J. Amer. Chem. Soc. 108(9):2466-2469 (1986).	
	FB	NORBECK, D., et al., "A New 2',3'-Dideoxynucleoside Prototype with In Vitro Activity Against HIV," Tetrahedron Lett., 30(46):6263-6266 (1989)	
	FC	OHNO, et al., "Synthetic Studies on Biologically Active Natural Products by a Chemicoenzymatic Approach," Tet. Letters, 40:145-152 (1984)	
	FD	OKABE, M., et al., "Synthesis of the Dideoxynucleosides ddC and CNT from Glutamic Acid, Ribonolactone, and Pyrimidine Bases," J. Org. Chem., 53(20):4780-4786 (1988)	
	FE	PAFF, et al., "Intracellular Metabolism of (-)- and (+)-cis-5-Fluoro- 1-[2-(Hydroxymethyl)- 1,3-Oxathiolan-5-yl]Cytosine in HepG2 Derivative 2.2.15 (Subclone P5A) Cells," Antimicrobial Agents and Chemotherapy, 1230-1238 (1994)	
	FF	PIRKLE et al., "Chiral Stationary Phases for the Direct LC Separation of Enantiomers," Advances in Chromatography, Giddings, J.C., et al., eds.: Marcel Dekker: New York, 1987; Vol. 27, Chap. 3, pp. 73-127	
	FG	RICHMAN, D. D., et al., "The Toxicity of Azidothymidine (AZT) in the Treatment of Patients with AIDS and AIDS-Related Complex," N. Eng. J. Med., 317(4): 192-197 (1987)	
·	FH	ROBERTS, et al., "Enzymic Resolution of cis- and trans-4-hydroxycyclopent-2-enylmethanol" J. Chem Soc., Perkin Trans. 1, (10):2605-7 (1991)	
	FI	SAARI, et al., "Synthesis and Evaluation of 2-Pyridinone Derivatives as HIV-1-Specific Reverse Transcriptase Inhibitors, 2. Analogues of 3-Ammopyndm-2(1H)-one, J. Med. Chem., 35:3792-3802 (1992)	
	FJ	SATSUMABAYASHI, S. et al., "The Synthesis of 1,3-Oxathiolane-5-one Derivatives," Bull, Chem. Soc. Japan, 45:913-915 (1972)	
	FK	SAUNDERS, "Non-Nucleoside Inhibitors of HIV Reverse Transctiptase: Screening Successes-Clinical Failures," <i>Drug Design and Discovery</i> , 8:255-263 (1992)	
	FL	SCHINAZI, R.F., et al., "Activities of the Four Optical Isomers of 2',3'-Dideoxy-3'-Thiacytidine (BCH-I89) against Human Immunodeficiency Virus Type 1 in Human Lymphocytes," Antimicrobial Agents and Chemotherapy 36(3):672-676 (1992)	
	FM	SCHINAZI, R.F., et al., "Insights into HIV Chemotherapy," AIDS Research and Human Retroviruses 8(6):963-990 (1992)	
	FN	SCHINAZI, R.F., et al., "Pharmacokinetics and Metabolism of Racemic 2',3'-Dideoxy-5-Fluoro 3'-Thiacytidine in Rhesus Monkeys," Antimicrobial Agents and Chemotherapy 36(11):2432-2438 (1992)	
	FO	SCHINAZI, R.F., et al., "Selective Inhibition of Human Immunodeficiency Viruses by Racemates and Enantiomers of cis-5-Fluoro-1-[2-(Hydroxymethyl)-1,3-Oxathiolan-5-yl]Cytosine," Antimicrobial Agents and Chemotherapy 36(11):2423-2431 (1992)	

Examiner	Date	
Signature	 Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

				Complete if Known		
Submitted for form 1449/PTO				Application Number	10/700,276	
				Filing Date	November 3, 2003	
INFORMATION DISCLOSURE				First Named Inventor	Liotta et al.	
	STATEMENT BY APPLICANT			Group Art Unit	Unassigned	
				Examiner Name	Unassigned	
Sheet	7	of	7	Attorney Docket Number	18085.105094 EMU 108 DIV3 CON	

3445331 3.DOC OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, Examiner Cite symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Initials * No.1 SCHINAZI, R.F., et al., "Substrate Specificity of Escherichia Coli Thymidine Phosphorylase for GA Pyrimidine Nucleoside with an Anti-Human Immunodeficiency Virus Activity," Biochemical Pharmacology 44(2): 199-204 (1992) GB SECRIST, et al., "Resolution of Racemic Carbocyclic Analogues of Purine Nucleosides Through the Action of Adenosine Deaminase Antiviral Activity of the Carbocyclic 2'-Deoxyguanosine Enantiomers," J. Med. Chem., Vol. 30, pp. 746-749 (1987) GC SHEWACH, et al., "Affinity of the antiviral enantiomers of oxathiolane cytosine nucleosides for human 2'-deoxycytidine kinase," Biochem. Pharmacol., 45(7): 1540-1543 (1993) SOUDEYNS, H., et al., "Anti-Human Immunodeficiency Virus Type 1 Activity and In Vitro Toxicity of **GD** 2'-Deoxy-3'-Thiacytidine (BCH- 189), a Noval Heterocyclic Nucleoside Analog," Antimicrobial Agents and Chemotherapy, 35(7):1386-1390 (1991). STERZYCKI, R.Z., et al., "Synthesis and anti-HIV activity of several 2'-fluoro-containing pyrimidine GE nucleosides," J. Med. Chem., 33(8):2150-2157 (1990) STORER, R., et al., "The Resolution and Absolute Stereochemistry of the Enantiomeris of cis-1-[2-**GF** (Hydromethyl)- 1,3-Oxathiolan-5-yl)cytosine (BCH 189): Equipotent Anti-HIV Agents," Nucleosides & Nucleotides, 12(2):225-236 (1993). TAKANO, A., et al., "A facile cleavage of benzylidene acetals with diisobutylaluminum hydride," GG Chemistry Letters 1983:1593-1596 (1983). GH VAN ROEY, et al., "Solid State Conformation of Anti-Human Immnosudeficiency Virus Type 1 Agents: Crystal Structures of Three 3'-Azido-3'-deoxythymidine Analogues," J. Am. Chem. Soc., 110:2277-2782 (1988) VORBRÜGGEN, et al. "Nucleoside Synthesis with Trhnethylsilyl Triflate and Perchlorate as Catalysts." GI Chem. Ber., 114:1234-1255 (1981) WILSON, et al., "The 5'-Triphosphates of the (1) and (+) Enantiomers of cis-5-Fluoro-1-[2-GJ (Hydroxymethyl)-1,3-Oxathiolane-5-yl]Cytosine Equally Inhibit Human Immunodeficiency Virus Type 1 Reverse Transcriptase," Antimicrob. Agents and Chemother., 37(8): 1720-1722 (1993). WILSON, L.J., et al., "A General Method for Controlling Glycosylation Stereochemistry in the GK Synthesis of 2'-Deoxyribose Nucleosides," Tetrahedron Lett., 31(13): 1815-1818 (1990). WILSON, L.J., et al., "The Synthesis and Anti-HIV Activity of Pyrimidine Dioxolanyl Nucleosides," GL Bioorganic & Medicinal Chemistry Letters, 3(2):169-174 (1993). WINSLOW, et al., "In vitro susceptibility of clinical isolates of HIV-1 to XM323, a non peptidyl HIV GM protease inhibitor," AIDS, 8:753-756 (1994). ZHU, Zhou, et al., "Cellular Metabolism of 3'-Azido-2',3'-Dideoxyuridine with Formation of 5'-O-GN Diphophoshexase Derivatives by Previously Unrecognized Metabolic Pathways of 2'-Deoxyuridine Analogs," Molecular Pharmacology, 1990:929-938 (1990).

Examiner	•	Date	
Signature		Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.